L OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 14998-230 Aikawa, et. al. Serial No. Filing Date Examiner **Group Art Unit** 2874 09/635,109 August 9, 2000 Mike Stahl Title: "OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM" Address to: **Assistant Commissioner for Patents** Washington, D.C. 20231 37 CFR 1.97(b) 1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) 2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: the statement specified in 37 CFR 1.97(e); OR \Box the fee set forth in 37 CFR 1.17(p).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT Docket No. (Under 37 CFR 1.97(b) or 1.97(c)) 14998-230 In Re Application: Aikawa, et. al. Serial No. Filing Date Examiner 09/635,109 August 9, 2000 Mike Stahl "OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM" NOV 2 5 2002 **Payment of Fee** (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 03-1240 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of X Credit any overpayment. Charge any additional fee required. XCertificate of Mailing by First Class Mail Certificate of Transmission by Facsimile* certify that this document and authorization to charge deposit I certify that this document and fee is being deposited account is being facsimile transmitted to the United States November 202002 with the U.S. Postal Service Patent and Trademark Office (Fax. No. as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.Q./20231. (Date) Signature of Person Mailing Correspondence Signature Tammy Parubchenko Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: November 19, 2002 Signature Daniel Basov, Reg. No. 42,303 CJADBOURNE & PARKE LLP 30 Rockefeller Plaza New York, New York 10112 1-212-408-5275 CC:

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Tammy Parubchenko

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TRANSMITTAL LETTER Docket No. (General - Patent Pending) 14998-230 In Re Application Of: Aikawa, et. al. 2800 MAIL ROOF roup Art Unit Examiner Serial No. Filing Date 2874 09/635,109 August 9, 2000 Mike Stahl "OPTICAL FIBER AND OPTICAL TRANSMISSION SYSTEM" Title: NOV 2 5 2002 TO THE ASSISTANT COMMISSIONER FOR PATENTS: Transmitted herewith is: TRANSMITTAL LETTER TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 (c) **PTO-1449 FORM** 3 REFERENCES - TWO WITH TRANSLATIONS AND ONE WITH COVER SHEET OF WO 0062106 CERTIFICATE OF FIRST CLASS MAIL AND CONFIRMATORY POSTCARD in the above identified application. No additional fee is required. A check in the amount of is attached. The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1240 as described below. A duplicate copy of this sheet is enclosed. Charge the amount of Credit any overpayment. \boxtimes \boxtimes Charge any additional fee required. Dated: NOVEMBER 19, 2002 Daniel Basov, Reg. No. 42,303 CHADBOURNE &PARKE LLP certify that this document and fee is being deposited 30 Rockefeller Plaza Ohovember 19 2002 with the U.S Postal Service as

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Signature of Person Mailing Correspondence

Tammy Parubchenko

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